



## INTRODUCTION

The purpose of this guide is to provide you with a framework of the concepts and theories of change management and tips/tools on how you can lead a successful and rewarding organizational change initiative. The guide focuses on two streams; the “process” or change model, and the “transition” or emotional impact when embarking on a change effort.

As leaders of change you have a critical role to play in ensuring that the change effort is successful. At Ryerson, the Human Resources Department can provide guidance and support to you and your team in understanding the change process. Our Organizational & Employee Effectiveness (OEE) unit can provide the diagnostics tools, programs, consultation and advice that you’ll need.

## WHAT IS CHANGE MANAGEMENT?

### Definition:

Change management is a *structured approach* to transitioning individuals, teams, and organizations from a current state to a desired future state, to fulfill or implement a vision and strategy. It is an organizational process aimed at empowering employees to accept and embrace changes in their current environment. There are several different streams of thought that have shaped the practice of change management.

### Change Management:

#### As a Systematic Process

Change management is the formal process for organizational change, including a systematic approach and application of knowledge. Change management means defining and adopting corporate strategies, structures, procedures, and technologies to deal with change stemming from internal and external conditions.

*-Society for Human Resources Management, 2007 Change Management Survey Report*

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### As a Means of Transitioning People

Change management is a critical part of any project that leads, manages, and enables people to accept new processes, technologies, systems, structures, and values. It is the set of activities that helps people transition from their present way of working to the desired way of working.

*-Lambeth Change Management Team, Change Management Toolkit.*

### As a Competitive Tactic

Change management is the continuous process of aligning an organization with its marketplace—and doing so more responsively and effectively than competitors.

*-Lisa M. Kudray and Brian H. Kleiner, "Global Trends in Managing Change," Industrial Management, May 1997*

## CHANGE STARTS WITH A VISION

A change effort or initiative must start with a vision. Whether change is prompted by external (political, economic, social or technological) or internal factors (policy, systems or structure), creating a vision will clarify the direction for the change. In addition, the vision will assist in motivating those that are impacted to take action in the right direction. (See [Kotter's Step three: Get the Vision Right](#) for more information).

### Definition:

A vision statement tells you where you are going. It paints a compelling work of a desired future state. It can make anyone who reads it, hears it or lives it want to support, work for, give to, or in some other way be part of your organization.

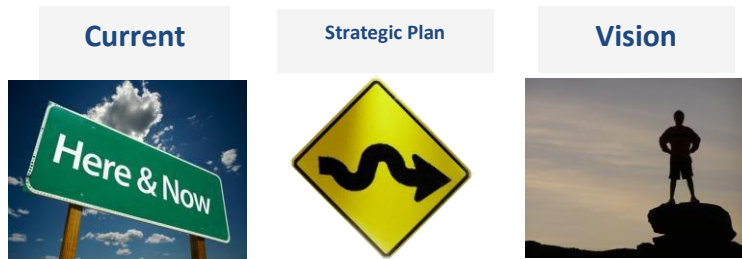
*-Christina Drouin, White paper on Visioning for the Centre for Strategic Planning*

### Characteristics of an Effective Vision!:

- Imaginable – conveys a picture of what the future will look like
- Desirable – appeals to the long-term interests of employees, customers, stakeholders etc.
- Feasible – comprises realistic, obtainable goals
- Focused – clear enough to provide guidance in decision making
- Flexible – General enough to allow initiative and alternative responses
- Communicable – can be fully explained in 5 minutes

## What about a Strategy?

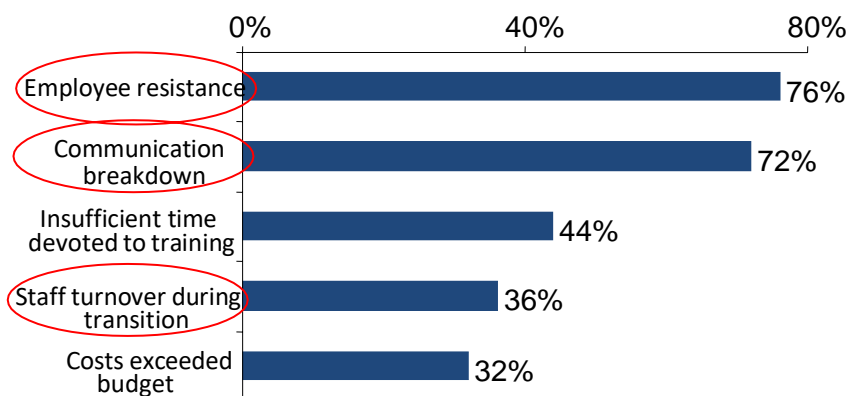
A strategy will ensure that the vision is achieved. It is a unified, comprehensive and integrated plan that provides a “roadmap” for achieving the vision. Without a strategic plan and vision, the change effort will not be successful.



## COMMON OBSTACLES TO CHANGE<sup>ii</sup>

A 2006 study by Harvard Business Review found that 66% of change initiatives fail to achieve their desired business outcomes. Why is change so difficult? The five most common obstacles to change are depicted in the graph below. Note that the three circled obstacles, are those that you, as a leader, can influence and improve.

Obstacles Experienced during Major Organizational Changes



## OVERVIEW OF THE LEADER'S ROLE FOR MANAGING CHANGE<sup>iii</sup>

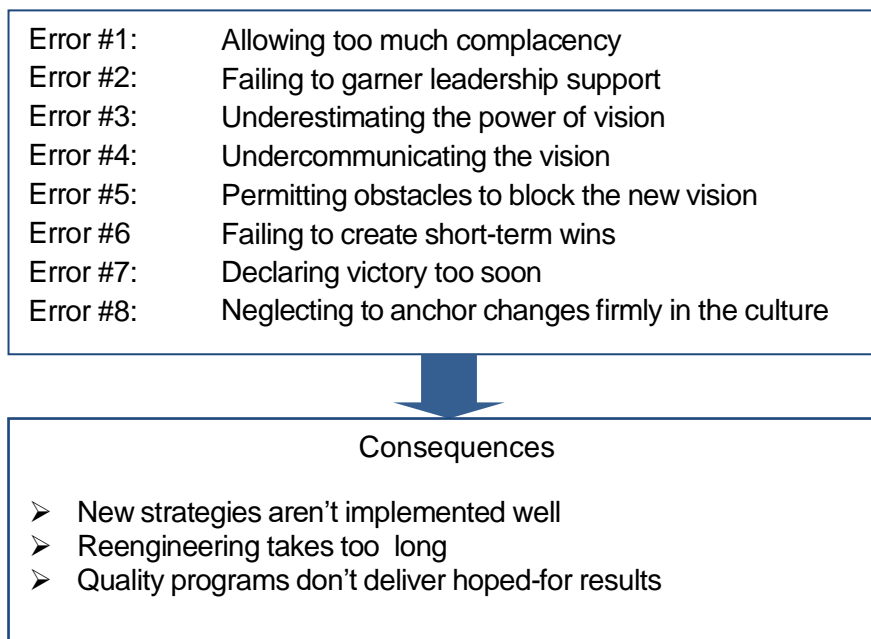
Given the obstacles noted, leaders have a critical role to play in managing change, the following chart provides an overview of how your role can impact the change obstacle.

Change Obstacles	Leader's Role
Employee Resistance	<ul style="list-style-type: none"><li>• Leverage your relationship with your team to address employee concerns on a personal level.</li><li>• Ask for their feedback and respond to their concerns honestly and openly.</li><li>• Review the section on <a href="#">Managing Change</a> in this guide.</li></ul>
Communication breakdown	<ul style="list-style-type: none"><li>• Communicate key information to employees on an on-going and consistent basis.</li><li>• Review the section on <a href="#">Communication</a> in this guide.</li></ul>
Staff turnover	<ul style="list-style-type: none"><li>• Engage your team by involving them in the initiative.</li><li>• Coach, Mentor and enrich their roles.</li></ul>

## WHY DO CHANGE EFFORTS FAIL?

There can be a significantly negative impact on the department or Faculty when a change initiative fails, or its implementation is unplanned. According to John P. Kotter (author of *Leading Change*), organizations often commit the following common errors that will hinder their change efforts and they are noted below.

### Eight Errors Common to Organizational Change Efforts and Their Consequences<sup>iv</sup>



## ROLES AND RESPONSIBILITIES FOR CHANGE

Understanding the roles and responsibilities that you and others play in the change effort is essential. They will provide clarity on the expectations, project scope and responsibility for each contributor. Typically, there are four key roles: the Sponsor (Senior Leaders), Champion (Leader), Change Agent (Human Resources) and Stakeholder (Employees).

The **Sponsor** is usually the Director/Dean in the department/faculty and:

- Has the overall responsibility for the department or faculty.
- Is the person who has authority over the project and over the individuals who will implement the change.
- Provides funding, resolves issues and scope changes.
- Approves major deliverables and provides high-level direction.
- Has a clear vision, identified goals and measurable outcomes for the change initiative.

The **Champion** is usually the Sr. Manager/Chair in the department/faculty that:

- Has the overall day-to-day authority.
- Provides the Sponsor with information about the issues and challenges.
- Engages and involves the right people on the ground.
- Brings the change vision to life.
- Encourages (and sometimes enforces) new and desired behaviours.

The **Change Agent** is the person or group that assists the department/faculty to implement the proposed change i.e., Human Resources. Their role is to advise and guide the Champion and Sponsor throughout the change initiative and:

- Focus on assisting, advising and coaching the Sponsor and Champion in the change effort.
- May act in a number of roles – data gatherer, educator, advisor, facilitator or coach.
- Has no direct-line authority to or over the Sponsor or Stakeholders.
- Act as subject-matter-experts in the change management process.

**Stakeholders** are those employees who will be impacted by the change. It is critical that they are involved in the process and understand how the change initiative will impact their current state.

## WHERE TO START?

Before embarking on a change initiative, spend some time answering the questions below to assess how you feel about the change. Consider the following questions to help you gauge your preparedness for the initiative<sup>v</sup>:

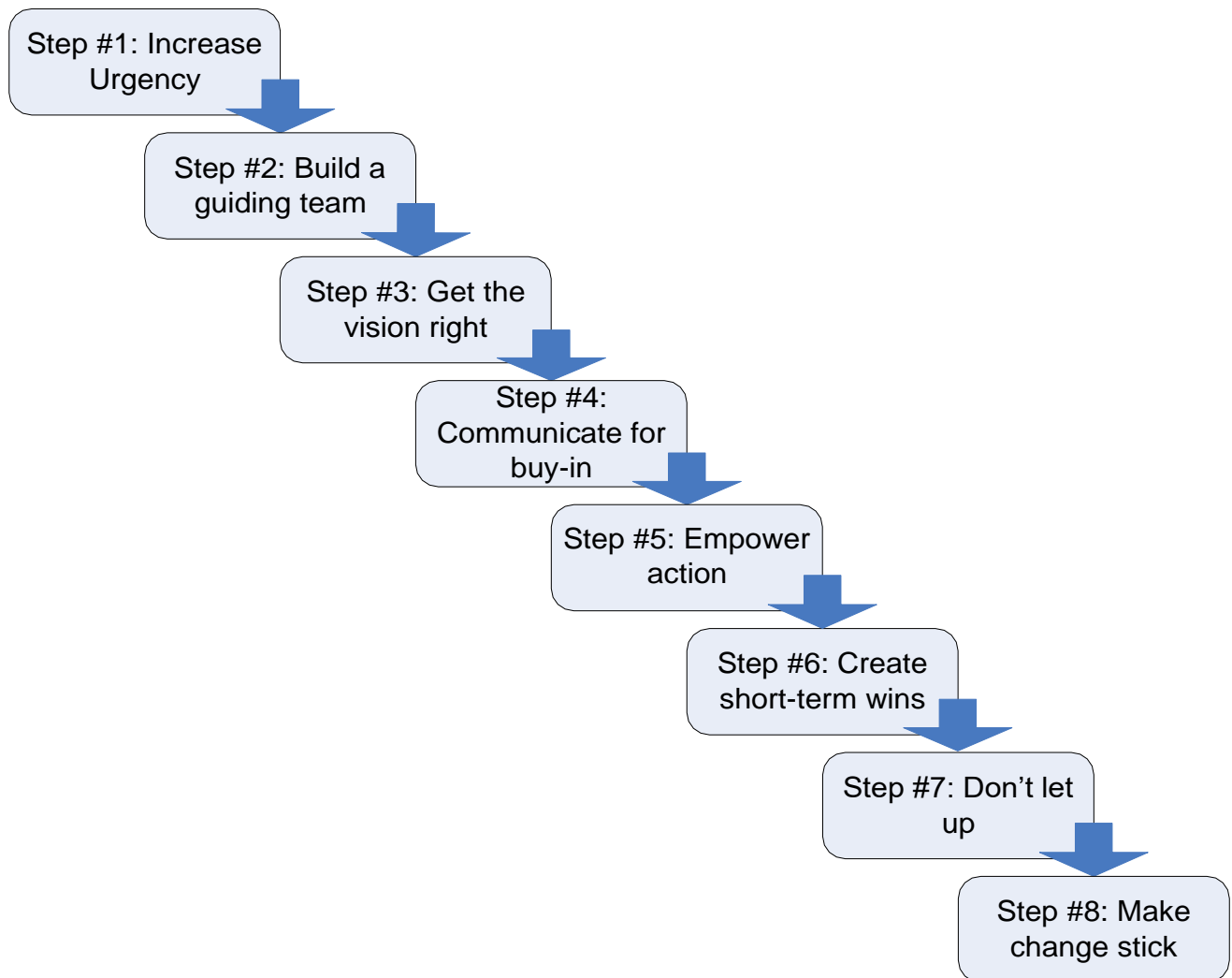
- Do I know the changes, their impact, rationale and benefits?
- Could I explain them to anyone I work with?
- Do I believe the change is worthwhile?
- How is the change impacting my existing workload?
- How can I communicate the need for change, the first steps, how people will be supported, and when we have achieved quick wins?
- Are there other parallel projects that will have an impact on the changes I'm managing?
- Will the changes impact the same group?
- Can we combine forces and integrate plans and communication?
- What changes will happen and when?
- Can I stagger the impact or combine time sensibly to lessen the impact?
- Do change leaders know their responsibilities and the commitment expectations?
- Has change successfully occurred in these groups in the past?
- Can we learn from what did or did not work well?
- What level of trust exists between groups and how can this be improved?
- When is communication necessary?
- How can I make the messages clear, interesting, and engaging?

Based on the questions above, do you generally have a positive or negative opinion of the change? As leaders, your opinion of the change will have an impact on your efforts to support and guide your team. Therefore it is critical that you understand and support the need for change.

## CHANGE MANAGEMENT MODEL<sup>vi</sup>

Now that you have decided to embark on a change effort, what do you do next?

Many change models have been developed over time to provide structure in approach. In John P. Kotter's book, "Leading Change" he outlines eight critical steps when transforming an organization. Its principles are at the core of any successful change effort. Ryerson's OEE department and your HRMC will partner with you and your team through each stage of the change effort.





## EIGHT STEPS TO EFFECTIVE CHANGE MANGEMENT

### Step one: Increase Urgency

Raising a feeling of urgency is the first and most critical step in a successful change effort. With low urgency and complacency, the change effort cannot get off the ground.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Creating a compelling story.</li> <li>✓ Never underestimating how much complacency, fear, and anger exists.</li> <li>✓ Creating the vision.</li> </ul>	<ul style="list-style-type: none"> <li>✗ Focusing exclusively on building a “rational” business case, getting top management approval, and racing ahead while mostly ignoring all the feelings that are blocking change.</li> <li>✗ Ignoring a lack of urgency and jumping immediately to creating a vision and strategy.</li> <li>✗ Thinking that you can do little if you’re not the leader.</li> </ul>	<ul style="list-style-type: none"> <li>• Underestimating the difficulty of driving people from their comfort zones.</li> <li>• Becoming paralyzed by risks.</li> </ul>
<p><b>Tip:</b> “Begin with the end in mind” - Steven Covey’s Seven Habits of Highly Effective People.</p>		
<p><b>Desired New Behaviour:</b> People start telling each other, "Let's go, we need to change things!"</p>		

### Step two: Build the Guiding Team

It is important to get the right people in place who are fully committed to the change initiative, well-respected within the organization, and have power and influence to drive the change effort at their levels.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Showing enthusiasm and commitment to help draw the right people into the group.</li> <li>✓ Modeling the trust and teamwork needed in the group</li> </ul>	<ul style="list-style-type: none"> <li>✗ Guiding change with weak task forces, single individuals, complex governance structures, or fragmented top teams.</li> <li>✗ Not confronting the situation when power centres undermine the selection of the right team.</li> <li>✗ Trying to leave out or work around the head of the unit to be changed.</li> </ul>	<ul style="list-style-type: none"> <li>• No prior experience in teamwork at the top.</li> <li>• Delegating team leadership to HR rather than a senior line manager.</li> </ul>
<p><b>Tip:</b> Draft a large, diverse team made up of individuals at all levels and with different skills.</p>		
<p><b>Desired New Behaviour:</b> A group powerful enough to guide a big change is formed and they start to work together well.</p>		

### Step three: Get the Vision Right

While creating a shared need and urgency for change may push people into action, it is the vision that will steer them into the new direction.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Trying to see – literally – possible futures.</li> <li>✓ Visions that are so clear that they can be articulated in five minutes or written up on one page.</li> <li>✓ Visions that are moving – such as a commitment to serving people.</li> </ul>	<ul style="list-style-type: none"> <li>✗ Assuming that linear or logical plans and budgets alone adequately guide behaviour when you are trying to leap into the future.</li> <li>✗ Overly analytical, financially based vision exercises.</li> </ul>	<ul style="list-style-type: none"> <li>• Presenting a vision that's too complicated or vague to be communicated in five minutes.</li> </ul>
<p><b>Tip:</b> Position the change around a compelling picture of the desired future state i.e., Ryerson's Master Plan.</p>		
<p><b>Desired New Behaviour:</b> The guiding team develops the right vision and strategy for the change effort.</p>		

### Step four: Communicate for Buy-In

Once a vision and strategy have been developed, they must be communicated to the organization in order to gain understanding and buy-in. Sending clear, credible, and heartfelt messages about the direction of change establishes genuine gut-level buy-in, which sets the stage for the following step: getting people to act.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Developing a communications strategy.</li> <li>✓ Keeping communication simple and heartfelt.</li> <li>✓ Speaking to anxieties, confusion, anger, and distrust.</li> </ul>	<ul style="list-style-type: none"> <li>✗ Under communicating, which can easily happen.</li> <li>✗ Accidentally fostering cynicism by not "walking the talk".</li> </ul>	<ul style="list-style-type: none"> <li>• Behaving in ways contrary to the vision.</li> </ul>
<p><b>Tip:</b> Create tools that help people tailor information to their specific needs – rather than forcing more generic memos and reports into over-stuffed email and in-boxes</p>		
<p><b>Desired New Behaviour:</b> People begin to buy into the change, and this shows in their behaviour</p>		

## Step five: Empower Action

Empowering action should be seen as removing barriers to those whom we want to assist in pushing the change effort. Removing obstacles should inspire, promote optimism and build confidence around the change effort.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Finding individuals with change experience who can bolster people’s self-confidence with “we-won-you-can-too” anecdotes.</li> <li>✓ Recognition and reward systems that inspire, promote optimism, and build self-confidence.</li> <li>✓ Feedback that can help people make better decisions.</li> </ul>	<ul style="list-style-type: none"> <li>✗ Trying to remove all the barriers at once.</li> <li>✗ Giving in to your own pessimisms and fears.</li> </ul>	<ul style="list-style-type: none"> <li>• Failing to address powerful individuals who resist the change effort.</li> </ul>
<p><b>Tip:</b> Recognize and reward excellence.</p>		
<p><b>Desired New Behaviour:</b> More people feel able to act, and do act, on the vision.</p>		

## Step six: Create Short –Term Wins

Short-term wins nourish faith in the change effort, emotionally reward the hard workers, keep the critics at bay, and build momentum. By creating short-term wins, and being honest with feedback, progress is achieved and people are inspired.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Early wins that come fast.</li> <li>✓ Wins that are as visible as possible to as many people as possible.</li> <li>✓ Wins that are meaningful to others.</li> </ul>	<ul style="list-style-type: none"> <li>✗ Launching many projects all at once.</li> <li>✗ Providing the first win too slowly.</li> </ul>	<ul style="list-style-type: none"> <li>• Leaving short-term successes up to chance.</li> <li>• Failing to score successes early enough into the change effort.</li> </ul>
<p><b>Tip:</b> Focus on one or two goals instead of all and make sure no new initiatives are added until one of those goals is achieved and celebrated.</p>		
<p><b>Desired New Behaviour:</b> Momentum builds as people try to fulfill the vision, while fewer and fewer resist change.</p>		

## Step seven: Don't Let Up

In successful efforts, people build on this momentum to make the vision a reality by keeping urgency up, eliminating unnecessary, exhausting work and not declaring victory prematurely.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Aggressively ridding yourself of work that wears you down-task that were relevant in the past but not now, tasks that can be delegated.</li> <li>✓ Looking constantly for ways to keep urgency up.</li> <li>✓ Using new situations opportunistically to launch the next wave of change.</li> </ul>	<ul style="list-style-type: none"> <li>✗ Convincing yourself that you're done when you aren't.</li> </ul>	<ul style="list-style-type: none"> <li>• Declaring victory too soon – with the first performance improvement.</li> </ul>
<p><b>Tip:</b> Replace a time-consuming and painstakingly detailed monthly activity report with a one-page summary that highlights only major milestones and key information.</p>		
<p><b>Desired New Behaviour:</b> People remain energized and motivated to push change forward until the vision is fulfilled</p>		

## Step eight: Make Change Stick

By creating a new, supportive, and sufficiently strong organizational culture, the change should remain. A supportive culture provides roots for the new ways of operating.

What works	What does not work	Common Pitfalls
<ul style="list-style-type: none"> <li>✓ Telling vivid stories about the new organization, what it does, and why it succeeds</li> <li>✓ Making absolutely sure you have the continuity of behaviour and results that help a new culture grow</li> </ul>	<ul style="list-style-type: none"> <li>✗ Trying to change culture as the first step in the transformation process</li> </ul>	<ul style="list-style-type: none"> <li>• Not creating new social norms and shared values consistent with changes</li> </ul>
<p><b>Tip:</b> When introducing new hires to the organization, use videos that contain heartfelt messages from clients whose lives the department has impacted.</p>		
<p><b>Desired New Behaviour:</b> New and winning behaviour continues despite the pull of tradition, turnover of change leaders, etc.</p>		

## COMMUNICATION STRATEGY

The importance of developing a well thought out communications strategy is often overlooked when embarking on a change initiative. Effective communication during a change effort will serve to provide employees with timely and accurate information, which can positively influence whether the organization can maintain employee productivity and morale and overcome resistance to change. The following five areas outline questions and key information for you to consider when building and delivering a communications strategy designed to inform and guide employees through a change event:

1. Building a Strategy
2. Involving Key Stakeholders in Communications Efforts
3. Determining Message Content
4. Identifying Most Effective Communications Channels
5. Ensuring Follow Up

### 1. Building a Strategy

Have you and your change team created a communications strategy that considers the questions outlined below?

**a. What do we need to accomplish?**

- What is the current state?
- What is the desired state?

**b. What do we need to communicate?**

- Who relays which messages?
- With whom do we need to communicate?

**c. In what order do we communicate with our audiences?**

- When are messages communicated?
- With what frequency?
- What tools/channels do we use?

**d. How can communications accomplish the desired state?**

- Drive behavior change?
- Educate and engage?
- Generate awareness?
- Mobilize commitment?

**e. Do we understand the following about our audience?**

- Who are they?
- What do they know/understand already?
- What drives/motivates them?


**f. How will we measure whether the communications strategy achieves its objectives?**

## 2. Involving Key Stakeholders in Communications Efforts

Have you considered all key stakeholders impacted by the change effort?

Do all areas (executives, HR, and managers) collaborate to communicate a consistent message?

Has HR provided managers with tools and training to adequately prepare them to support all communications?

 *To assist in ensuring that the change effort is communicated to the employees in a timely and effective manner, a communications strategy is critical. **The following links will help you:***

- *Template for Building a Communications Strategy*
- *Checklist for Communicating Change*
- *Additional Communication Tips*

## 3. Determining Message Content

Do communications detail the rationale behind the change? Do the messages achieve the following:

- Give reasons for the change and explain the benefit?
- Ask for staff's help in making the change work effectively?
- Show support for the change (i.e., help others accept the change)?

Does the communications strategy effectively relay how the change will affect employees and the business in the short and long term? Does the strategy meet the following:

- Provide as many details as possible?
- Supply realistic detail of both the positives and negatives of the change?
- Let employees know that the organization understands the range of emotions associated with the change?

## 4. Identifying Most Effective Communication Channels

Have you and your change team determined how to clearly communicate what is expected of employees and resources available to them? Have you considered the most effective channels of communication that consider the following questions outlined below:

- Does the organization have an infrastructure in place in order to provide timely, honest communication with employees?
- Does the strategy include a timeline detailing when critical messages should go out?
- Has the organization determined the appropriate communication channel mix to reach diverse, dispersed employee groups?
- Does the communications strategy ensure that important messages are repeated through a variety of communication vehicles to ensure that employees receive the message?

## Advantages and Disadvantages of Communication Channels<sup>vii</sup>

Type	Channel	Advantages	Disadvantages
Mass communication	Email	✓ Cost effective	✗ Impersonal ✗ Employees may not read mass communication ✗ Does not easily facilitate an environment in which recipients can ask questions or supply feedback
	Intranet	✓ Efficiently communicates routine change	
	Memos	✓ Allows organization to reach a large audience quickly and consistently	
	Newsletters		
Face-to-face communication	Meetings	✓ Enables leaders to reinforce importance of the change initiative	✗ Time consuming ✗ Ineffective for reaching employees in dispersed workforces ✗ Executives' presence may discourage employees from openly voicing concerns ✗ Inappropriately timed and too detailed information can cause confusion
	Information sessions	✓ Allows employees to ask immediate questions and provide feedback	
	Round table discussions	✓ Enables employees to receive information from leaders, whom they are more likely to trust	

### 5. Ensuring Follow-Up

Once you have implemented your communication strategy, it is critical that you monitor its impact on an on-going basis. Questions you might consider asking to gauge the effectiveness of the strategy might include:

Is the department or faculty prepared to engage employees by doing the following:

- Asking questions?
- Listening to employee concerns?
- Acknowledging each contribution and highlighting advantages and disadvantages of various suggestions?

Does the department or faculty consider the following:

- Plan to assess employees' reactions to change (via surveys, focus groups, etc.)?
- Continually monitor key metrics related to communications objectives and make necessary adjustments as employees react to different messages?
- Proactively and reactively adjust the communications strategy based on employee responses?
- Express appreciation for employees' assistance and cooperation in implementing the change?

## MANAGING THE EMOTIONS IN CHANGE

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There is wisdom in resistance....

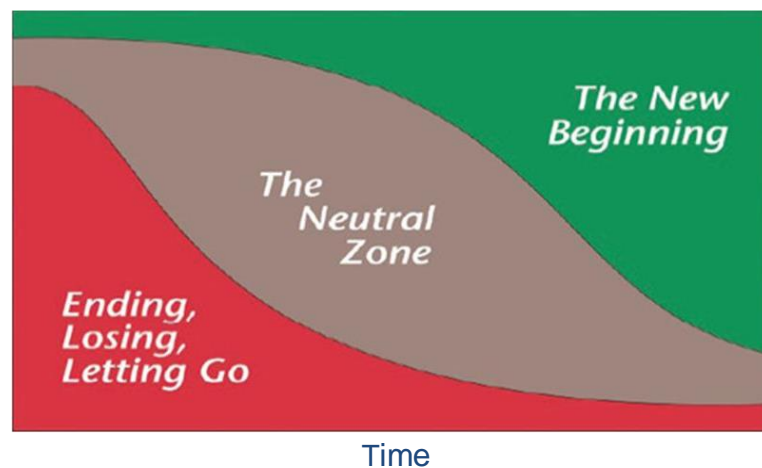
**-Barry Johnson**

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Resistance to change is the largest obstacle that leaders are faced with when initiating a change effort. It can be very damaging to the process and can potentially stall the efforts to move forward if not addressed appropriately. There are many reasons why an employee may resist change and so as “leaders of change”, you will need to be sensitive to how individuals respond while keeping in mind that the process of commitment and acceptance takes time.

William Bridges developed a model that reviews the emotional impact of change over time and the leader’s role. He describes the difference between change and transition. Change is situational and will happen without the people, whereas transition deals with the psychological impact on the people.

### Bridges’ Three Phases of Transitions



As a leader of change your role will be to support and encourage your staff throughout each phase. It is important to recognize that transition is not linear and therefore, those that are impacted sometimes find themselves moving back and forth between the phases. Given this possibility, you will need to expect and anticipate that people will go through the transition process at different speeds and in different ways. Your skills in communication, listening and coaching will be pivotal in identifying how to support your staff, both as individuals and as a group, so they can move through the phases as quickly and effectively as possible.



## Phase 1: Ending, Losing, Letting Go

In this phase, staff and faculty must come to a point where they can let go of the old situation and until they let go, they will not be able to move on.

Possible Reactions:	Tips for Leaders
<ul style="list-style-type: none"> <li>• Sense of shock</li> <li>• Fear</li> <li>• Resentment</li> <li>• Apathy</li> <li>• Loss</li> </ul>	<ol style="list-style-type: none"> <li>1. Identify what each individual will be losing</li> <li>2. Accept your employees' reactions</li> <li>3. Be open about losses and show empathy to those affected</li> <li>4. Look for ways to compensate your employees for their losses</li> <li>5. Provide your employees with lots of information</li> <li>6. Show care and concern</li> <li>7. Ask for reactions</li> <li>8. Listen and pay attention to what you are hearing</li> <li>9. Allow people time to grieve</li> <li>10. Respond to the questions</li> </ol>
<b>Behaviours to watch for:</b>	
<ul style="list-style-type: none"> <li>• Asking questions</li> <li>• Challenging</li> <li>• Complaining</li> <li>• Failing to see any positive outcomes</li> <li>• Trouble sleeping</li> <li>• Withdrawal</li> <li>• Blame</li> </ul>	

**What they are saying:**  
*"Why can't things stay the same?"*  
*"This will never work"*

## Phase 2: The Neutral Zone

In this phase, staff and faculty are in the gap between the old and new where the "old" no longer works and the "new" has yet to be established.

Possible Reactions:	Tips for Leaders
<ul style="list-style-type: none"> <li>• Anxiety</li> <li>• Confusion</li> <li>• Decreased motivation (which can result in lack of productivity)</li> <li>• Some hope</li> </ul>	<ol style="list-style-type: none"> <li>1. Talk to your staff and faculty about the feelings they can expect at this stage</li> <li>2. Create temporary policies, procedures or structures as necessary</li> <li>3. Strengthen connections within your team</li> <li>4. Encourage your employees to think of new ways of doing things</li> <li>5. Involve people in trying out ideas</li> <li>6. Start training people on the new skills they will need</li> <li>7. Continue to explain the purpose and plan</li> </ol>
<b>Behaviours to watch for:</b>	
<ul style="list-style-type: none"> <li>• Adjustment</li> <li>• Bargaining</li> <li>• Willingness to get involved</li> </ul>	

**What they are saying:**  
*"Things are a mess, we are so unorganized"*  
*"Here we go again!"*

### Phase 3: The New Beginning

In this phase staff and faculty begin to show emotional commitment to the new state.

Possible Reactions:	Tips for Leaders
<ul style="list-style-type: none"> <li>• New energy</li> <li>• New identity</li> <li>• Sense of purpose.</li> </ul>	<ol style="list-style-type: none"> <li>1. Explain the purpose for the new beginning</li> <li>2. Continue to communicate the vision: what will the outcome of the change look like</li> <li>3. Develop a transition plan: when they will receive information, training and support</li> <li>4. Give your employees parts to play in the transition</li> </ol>
<b>Behaviours to watch for:</b>	
<ul style="list-style-type: none"> <li>• Rebuilding</li> <li>• Cooperation</li> <li>• Clear focus and planning</li> </ul>	

**What they are saying:**  
*“What can we do to make this work?”*  
*“When you get used to it...it’s not bad”*

### Additional Tips to Addressing Resistance

As a leader, you will likely need to deal with the negative effects of change. The following table provides reasons why staff and faculty may resist change and strategies that leaders of change can use to reduce that resistance<sup>viii</sup>.

Reasons Employees Resist	Strategies
<ul style="list-style-type: none"> <li>• Employees feel they will suffer from the change</li> <li>• Organization does not communicate expectations clearly</li> <li>• Employees perceive more work with fewer opportunities</li> <li>• Change requires altering a long-standing habit</li> <li>• Relationships harbour unresolved resentments</li> <li>• Employees lack feeling of job security</li> <li>• Change alters existing social interactions</li> <li>• Organization lacks adequate reward process</li> <li>• Organization lacks sufficient resources</li> </ul>	<ul style="list-style-type: none"> <li>• Use communication strategy that solicits employee input</li> <li>• Do not send mixed signals regarding the change; this will increase employee distrust</li> <li>• Communicate clear vision of the change</li> <li>• Provide timely education</li> <li>• Identify employee concerns and unresolved implementation issues</li> <li>• Provide employees with a timeline and a defined approach and outcome</li> <li>• Communicate how employees will benefit from the change</li> <li>• Develop procedures to address employees who will be negatively affected by the change</li> </ul>

## WHAT'S YOUR PERSONALITY PREFERENCE?

Knowing how to communicate change is critical to the success of any communication strategy. Personality Dimensions® is based on leading-edge research into human motivation and behaviour and helps to explain what motivates behaviour in people with different personalities or temperaments. Who is engaged by change, who wants stability and values past practice, who focuses on relationships or prefers a compelling argument for the change initiative?

Having an understanding of your staff's personality dimension will provide you with additional insight on how best to respond to them individually at each stage of the transition.

## FINAL TIPS AND HINTS:

- ☞ When you don't have answers, don't give answers.
- ☞ Remember that change is a fast-breaking story. Sometimes you'll hear the news from management; sometimes you'll hear it from employees.
- ☞ Even your silence will be interpreted - and probably not favourably. Gaps will be filled by the grapevine.
- ☞ As a leader, force yourself to the front lines. This will boost morale.

By now you should have an understanding of the complexity of a change management initiative and your role and responsibilities in leading and supporting the change. Whether you are embarking on a new change initiative or considering change within your department/faculty, this guide can assist you at each stage of the process.

## TEAM CHARTER GUIDELINES/CHECK LIST

A team charter is a clear description of the team's purpose (why), how they will work, and the expected outcomes (what).

	Area	Discussion points/Check List
Why	<ul style="list-style-type: none"> <li>✓ Expectations</li> <li>✓ Purpose</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Why are we doing this?</li> </ul>
What	<ul style="list-style-type: none"> <li>✓ Goals</li> <li>✓ Scope</li> <li>✓ Timeline</li> <li>✓ Success Indicators</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> In what areas are results absolutely essential?</li> <li><input type="checkbox"/> How will those areas be measured, what will they look like?</li> </ul>
What	<ul style="list-style-type: none"> <li>✓ Nature and organization of work</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Who outside our team/unit or department who must we involve, inform or consult with?</li> <li><input type="checkbox"/> What decisions need approval from someone outside our team (if appropriate)?</li> <li><input type="checkbox"/> What is not in our scope of work (though other might think it is)?</li> <li><input type="checkbox"/> What authority does the team have to act independently?</li> </ul>
	<ul style="list-style-type: none"> <li><input type="checkbox"/> Roles and Responsibilities</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> What role(s) and area(s) of responsibility does each team member and leader have?</li> <li><input type="checkbox"/> During meetings, who will chair the meeting, who will take notes?</li> </ul>
	<ul style="list-style-type: none"> <li><input type="checkbox"/> Guiding Principles</li> <li><input type="checkbox"/> Norms</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> What behaviours will we hold one another accountable so we can be an effective team?</li> <li><input type="checkbox"/> Do we have “team norms” or “rules of engagement” to refer to?</li> </ul>
	<ul style="list-style-type: none"> <li><input type="checkbox"/> Operating Principles</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> How will the team make decisions; resolve conflicts?</li> <li><input type="checkbox"/> How often and how long will we meet as a team?</li> </ul>

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# CHANGE ASSESSMENT AND PLANNING TEMPLATE<sup>ix</sup>

Ask your team what they feel is working well throughout the change process. Write down the 3-5 most common positive elements your team expresses.

Ask your team what they are most unhappy with about the change, and ask them to come up with suggestions for improvement. Write down the 3-5 most common suggestions your team identifies. Next, plan how you will respond to the improvement, including if it must be escalated or if you can involve a member of your team. Note that not all suggestions will be suitable for additional action.

Take a quick "pulse check" of the team by asking them how they feel about the importance of the change and the success of the implementation so far. It will provide you with a general sense of how happy and engaged your team is, and will help you prioritize the ongoing management of the change.

## Change Assessment and Planning Template

Date:

### Top 3-5 Positive Outcomes of the Change

1) The team has a clear sense of the long-term benefits of the merger to the company's ability to expand our product offering, and is pleased with senior management's visible, hands-on approach to communicating this change.

2)

3)

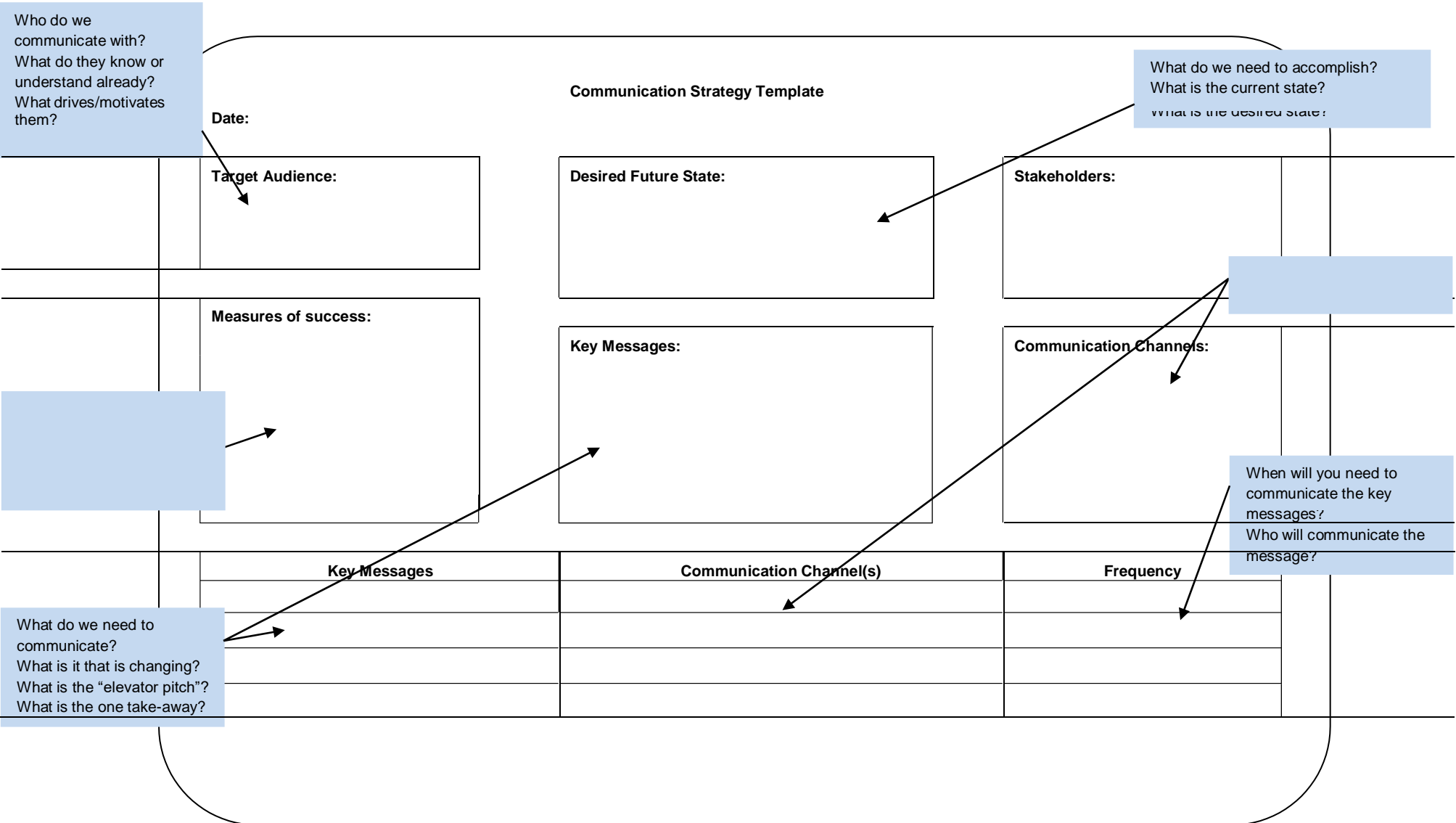
### Top 3-5 Suggestions for Improvement

Suggestion	Follow-Up?	Next Steps	Owner
1) The team is unclear about the specific timelines for the change, including the official merger date and suggests company-wide Webinar with additional communications about the timeline.	Yes	Review provided timelines with team; Suggest to central change team a Webinar to provide updated timelines	Team lead; John Q. to contact central change team
2)			
3)			

Overall, my team rates the *importance* of this change as a \_\_\_\_\_ on a scale of 1 to 5 (no importance to critically important).

Overall, my team rates the *implementation* of this change as a \_\_\_\_\_ on a scale of 1 to 5 (very ineffective implementation to very effective implementation).

# COMMUNICATIONS STRATEGY TEMPLATE



## **COMMUNICATIONS TIPS<sup>x</sup>:**

1. Only communicate what has been authorized. This will ensure consistency across the organization.
2. Communicate the business rationale for the change and the events leading up to it. Clarify the vision and specific change plans.
3. Explain the benefits of the change to the broader department or faculty and the individuals on your team.
4. Update your team regularly on the progress of the change.
5. Acknowledge the negatives of the change.
6. Provide as much detail as possible to minimize rumors.
7. Acknowledge when you do not have the answers. Do not guess.
8. Emphasize that change will happen.



## MANAGER'S CHECKLIST FOR COMMUNICATING CHANGE<sup>xi</sup>

**Source:** Corporate Leadership Council research.

**Purpose:** To determine the appropriate content and delivery of critical communication around a change initiative.

**User Guidelines:** Use the checklist to determine the content of employee communications and to identify suggestions regarding how to deliver key messages and gain feedback from employees.

**Context:** This checklist helps managers prepare for initial change communication, as well as maintain strong, ongoing communications with employees during the transition.

### *The Message*

- Is my message consistent with that of HR, executives, and other managers? What additional information do I need to effectively communicate with my direct reports?
- Does my message detail the business rationale behind the change and explain the benefit?
- Does my message ask for staff's help in making the change work effectively?
- Does my message show support for the change (i.e. help others accept the change)?
- Does my message clarify the vision, plans, and progress of the change initiative?
- Does my message relay how the change will affect employees and the business in the short and long term?
- Does my message provide as many details as possible?
- Does my message address the "What's in it for me?" question?
- Does my message supply realistic detail of both the positives and negatives of the change?
- Does my message let employees know that the organization understands the range of emotions associated with the change?

### *The Communication Strategy*

- Are my formal and informal communications timely, honest, and accurate?
- Am I repeating key messages numerous times?
- Have I addressed employee questions and issues, and have I captured and escalated important employee concerns?
- Has the organization determined how to clearly communicate what is expected of employees and resources available to them to help them transition?
- Do I communicate proactively and adjust my communication approach depending on employee reactions?
- Do I create opportunities for two-way, face-to-face dialogue and follow-up on items of concern following these conversations?
- Am I reaching my audience via numerous channels?

## MANAGER CHECKLIST FOR ADDRESSING EMOTIONAL REACTIONS TO CHANGE<sup>xii</sup>

Use this checklist to ensure you effectively address employees' emotional reactions throughout the three stages of commitment.

**Source:** Corporate Leadership Council research

Response	Employee Reaction	Suggested Manager Response
<b>Denial</b>	Individual believes that the problem will correct itself	<input type="checkbox"/> Review the business case for the change <input type="checkbox"/> Emphasize that the change will happen <input type="checkbox"/> Allow time for change to sink in
	Individual displays apathy/numbness	
	Individual tries to rationalize the change	
<b>Anger</b>	Individual tries to sabotage the change effort	<input type="checkbox"/> Acknowledge legitimacy of anger <input type="checkbox"/> Distinguish between feelings and inappropriate behavior <input type="checkbox"/> Maintain focus on the real drivers necessitating change
	Individual tends to "shoot the messenger"	
	Individual withdraws from the team	
<b>Bargaining</b>	Individual tries to "cut a deal" to spare himself or herself	<input type="checkbox"/> Focus on how the individual and his/her team will benefit from the change <input type="checkbox"/> Keep problem solving focused on the root cause
	Individual tries to redirect problem solving away from change	
<b>Depression</b>	Individual expresses a loss of control over the work environment	<input type="checkbox"/> Provide a series of specific next steps and follow up frequently <input type="checkbox"/> Reinforce positive actions that the individual can take to adjust to the change
	Individual's absenteeism increases	
<b>Acceptance</b>	Individual expresses ownership for solutions	<input type="checkbox"/> Use the individual as a coach or mentor for others <input type="checkbox"/> Provide recognition for his/her efforts
	Individual focuses on achieving benefits promised by the change	



